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Analysis of the stratification of vegetation classes in the Miombo forests of the

Democratic Republic of Congo

Serge M. Kalawu^{1*}, Michel K. Ngoy¹, Innocent Ombeni², Landing Mane¹, Papy-Claude Bolaluembe Boliale³, Joël Tungi-Tungi³, Héritier Kaki³

⁽¹⁾Satellite Observatory of Central African Forests. BP 2499 Kinshasa I (DRC). E-mail: skalawu@osfac.net; mngoy@osfac.net; lmane@osfac.net

⁽²⁾Food and Agriculture Organization of the United Nations FAO-Lubumbashi). Miombo Community Forest Management Project (DRC).

⁽³⁾University of Kinshasa. Faculty of Agronomic Sciences. Department of Natural Resources Management. BP 11 Kinshasa XI (DRC).

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ABSTRACT

Description of the subject. This study aims at mapping and discriminating the different strata of land cover classes of the Miombo forests of the province of Haut-Katanga in DRC.

Objective. The objective of this study is to identify the vegetation classes of the Miombo forests with the sole purpose of assessing the land cover dynamics of these ecosystems in the Upper Katanga Province (DRC) which are facing important drivers of deforestation, namely: slash and burn agriculture, wood fuel exploitation (carbonization), late bushfires, and artisanal mineral exploitation and to a large extent, demographic pressure.

Methods. Landsat 8 TM, OLI images from June 2018 were processed and analyzed in this study. To ensure the quality of the accuracy of the classification of vegetation classes, 519 points from a random and stratified sampling were generated using the Random tool. In addition, high-resolution Google Earth imagery and field data were used as a reference (ground truth) during the validation analyses of the stratified vegetation class map of Miombo forests. By comparing the reference data (Ground Truth) and the spatio-map resulting from the classification, it was possible to perform a statistical validation of the map produced.

Results. The analysis on the stratification of the vegetation classes of the Miombo forests of the DRC in 2018, highlighted three (03) main strata: (1) Undegraded open forest (Primary Forest); (2) Degraded open forest (Secondary Forest); (3) Savannah zones which include shrubby savannahs, grassy savannahs, savannah forests, and agricultural zones) and (4) Other land use classes: Water and anthropized zones.

Conclusion. At the end of its analysis three main vegetation classes of the Miombo forests were highlighted, these are the strata: (1) Undegraded open forest (Primary Forest); (2) Degraded open forest (Secondary Forest); (3) Savannah zones which include shrub savannahs, grassy savannahs, savannah forests and agricultural areas).

Keywords: Normalized difference vegetation index, Kappa Index, Confusion Matrix, Miombo forest, Landsat -8. DRC

RESUME

Analyse de la stratification des classes de végétation des forêts Miombo de la République Démocratique du Congo

Description du sujet. Cette étude vise à cartographier et à discriminer les differentes strates de classes d'occupation du sol des forêts Miombo de la province du Haut-Katanga en RDC.

Objectif. L'objectif de cette étude est d'identifier les classes de végétation des forêts de Miombo dans le but d'évaluer la dynamique de l'occupation du sol de ces écosystèmes dans la Province du haut - Katanga (RDC) qui font face à des moteurs importants de déforestation, à savoir : l'agriculture itinérante sur brûlis, l'exploitation du bois-énergie (carbonisation), les feux de brousse tardifs, et l'exploitation artisanale des minerais.

Méthodes. Les images de Landsat 8, OLI du mois de Juin 2018 ont été traitées et analysées lors cette étude. Pour s'assurer de la qualité de la précision de la classification des classes de végétation, 519 points issus d'un échantillonnage aléatoire et stratifié ont été générés à l'aide de l'outil Random. Mais aussi, les images hautes résolution de Google Earth et les données collectées sur le terrain ont été utilisées comme référence (vérité terrain) lors des analyses sur la validation de la carte stratifiée des classes de végétation des forêts Miombo. En comparant les données de référence (Ground Truth) et la spatio-carte issue de la classification, il a été possible de faire une validation statistique de la carte produite.

Résultats. L'analyse sur la stratification des classes de végétation des forêts Miombo de la RDC en 2018, a mis en évidence trois (03) principales strates : (1) Forêt claire non dégradée (Forêt primaire) ; (2) Forêt claire dégradée (Forêt secondaire) ; (3) Zones savanicoles qui comprennent les savanes arbustive, savane herbeuses, forêts savantisées et zones agricoles) et (4) Autres classes d'occupation du sol : Eau et zone anthropisée.

Conclusion. A l'issue de ses analyses, trois principales classes de végétation des forêts Miombo ont été mis en évidence, il s'agit des strates : (1) Forêt claire non dégradée (Forêt primaire) ; (2) Forêt claire dégradée (Forêt secondaire) ; (3) Zones savanicoles qui comprennent les savanes arbustive, savane herbeuses, forêts savantisées et zones agricoles).

Mots-clés : Indices de Kappa, Matrice de confusion, Forêts Miombo, Landsat-8, RDC

1. INTRODUCTION

The Democratic Republic of Congo (DRC) has a vast forest area, estimated at about 155 million hectares representing 67% of the national territory. Dense rainforests alone occupy nearly 99 million hectares (Eba'a et al., 2008; OSFAC, 2010). The forests of the Congo Basin, particularly those of the DRC, positively influence the rainfall regime of the sub-region. The diversity of the DRC's forests makes them a distinguished landscape (Debroux et al., White's According to 2007). (1983)phytogeographic classification, the forest vegetation of the DRC is subdivided into 4 centers of endemism (the Guinean-Congolese region, the Zambézian region, the Sudanian region, and the fragmented mountainous region). The Zambézian center occupies Haut-Katanga and the extreme south of the Kwango Plateau. It is a region of open forests and grassy formations. According to the DRC's Forest Code, forest is defined as land covered by a plant formation based on trees or shrubs capable of providing forest products, sheltering wildlife and exerting a direct or indirect effect on the soil, climate or water regime (Vundu dia Massamba and Kalambay, 2013).

This ecosystem is rich in animal and plant biodiversity, is characterized by nutrient-poor soil and records rainfall of around 700 mm per year (Mpundu, 2015; Pienaar et al., 2015). The miombo forest is generally referred to as a homogeneous ecosystem, but some differences in species composition, diversity and structure occur locally (Chidumayo and Frost, 1996; Ribeiro et al., 2015). They provide goods and services for more than 70% of the region's rural and urban populations (Mpundu, 2015; Ribeiro et al., 2017). In the Democratic Republic of Congo, miombo open forests account for about 26% of the national forest cover, or nearly 14 million hectares (WWF, 2012). The miombo forest habitat covers a large part of the former Katanga Province and the southern part of the current Kwango Province (Kabulu et al., 2008). The majority of miombo species grow (regrow vegetatively or coppice) in a persistent subsoil rootstock, or the base of the stem, as an adaptation to

a variety of browsers. This allows them to persist on the site after some trauma (World Bank group, 2018). Extensive horizontal root systems and clonal reproduction from root shoots facilitate rapid regeneration after cutting. New individuals are recruited a few meters around the parent tree (Chidumayo and Frost, 1996; Matowo *et al.*, 2019). Miombo forest is rich in precious wood species that are widely traded locally and internationally. China is the main importer abroad. Local consumption of timber (usually with the species *Pterocarus angolensis*, *Afzelia quanzensis*, and *Dalbergia melanoxylon*) is estimated at more than 10 times the amount exported internationally (Campbell *et al.*, 1996; World bank group, 2018).

Miombo's forests provide a wide range of ecosystem goods and services essential for the well-being of rural and urban communities. They are direct sources of food (fruits, seeds, leaves, mushrooms, berries, bark extracts, beans and roots, herbs, wild meat, caterpillars, honey, etc.) (De Kesel et al., 2017; Pierre, 2019). They filter drinking water by reducing biological and chemical pollutants. Miombo forests play an indispensable role in maintaining climate balance, purifying the air, reducing noise, regulating rainfall patterns, reducing wind speed, maintaining soils against erosion, providing wood energy, etc. (Campbell et al., 1996; Clarke et al., 1996; Pienaar et al., 2015). However, the Miombo forests are increasingly facing many threats without any measures aimed at sustainable management. Trees are cut down to meet present needs (Malmer, 2007; Nduwamungu, et al., 2015).

Trees in Miombo are cut for conversion to agricultural land, mining, carbonization, fuelwood, etc. (Malmer, 2007; Nduwamungu *et al.*, 2015). Due to the lack of stable and permanent electricity, wood energy is more demanded by bakers, blacksmiths, restaurants, brick makers and households (Misana *et al.*, 1996; FAO, 2003; Tréfon *et al.*, 2010; Mpundu, 2015). As a result, the area is exposed to the risk of soil erosion and climatic disturbances. Fuelwood, misuse of bush fires and urbanization due to

population expansion are the main drivers of pressure on the miombo open forest (Campbell, 1996; Sikuzani *et al.*, 2017; Pelletier *et al.*, 2018); with the major consequences being habitat fragmentation and destruction, biodiversity loss, savannah development and soil erosion. For the year 2010, the annual rate of regression of miombo open forest cover is estimated to be around 2.4 % (Chomba, 2018).

More than 70 % of these populations use the products of these open forests on a daily basis to generate energy (charcoal and firewood), to treat themselves (medicinal plants), to feed themselves (non-timber forest products: fruit, mushrooms, honey, etc.) (www.ofcc-rdc.org). Furthermore, the main question that the study, like other projects in the region, is answering is: how to contribute to the reduction of the irrational exploitation of dry and clear forests in DRC in order to protect and manage them in a sustainable way"? This of course requires prior knowledge of the composition of its strata (vegetation classes). The main objective of this study is, on the one hand, to produce a general and stratified map describing the different vegetation classes of the Miombo forests of the Upper Katanga province from Landsat8 satellite images, collected in

June 2018, and, on the other hand, to evaluate the quality of the accuracy of the map of vegetation strata of these forests produced using the confusion matrix (Goshen coefficient).

The study presented in this paper aims to make a contribution with a view to serving as a necessary tool for the sustainable and responsible management of these forest ecosystems threatened by the drivers of deforestation as mentioned above.

2. MATERIALS AND METHODS

2.1. Study area

Located in the south-eastern part of the DRC on the border with Zambia, the Upper Province - Katanga is situated at 11° 40′ 11″ South latitude and 2°10′ and 27° 29′ 00″ East longitude (figure 1) and its surface area has been estimated at 132,425 km². It has a desert climate (BWh) according to the Köppen-Geiger classification. Over the year, the average temperature is 22.4 °C and precipitation averages 512.7 mm. administratively, it is subdivided into 3 territories including: Kasenga, Kambove and Kipushi. The city of Lubumbashi is its capital.



Figure 1. Study area showing, (a) the location of the study area in the Democratic Republic of the Congo, and (b) the study area location in Katanga province within the Democratic Republic of Congo.

2.2. Data

Data from LANDSAT 8 sensors were used in this study. Landsat satellites have a spatial resolution of 30 m in multi-spectral and 15 m in panchromatic.

The spectral domains explored during this study are particularly in the Red, Near Infrared and Middle Infrared radiometric bands. In addition, 519 control or validation points were generated using the QGIS Random tool and verified in the field during the field truth collection mission.



Figure 2: Study area showing (a) Landsat grid covering the study area. A total of 6 scenes of 180 km x 180 km Landsat images cover this area with Path (172, 173 and 174) and Row (066, 067 and 068) and (b) The Landsat 8 image mosaic covering the study area for the year 2018.

2.3. Materials

To carry out this study, we used certain data collection, analysis and processing equipment, including satellite data processing software (ENVI, Arc GIS and QGIS) and the Map 62c GPS receiver for collecting validation data in the field, in addition to a complete kit of Microsoft Word and Excel products for producing statistics.

2.4. Methods

The methodological approach used in this analysis is based on satellite image processing (Landsat 8), collection of validation data in the field, and the principles of interpreting validation points derived from random sampling and interpreted using highresolution Google Earth images. It consists of six main steps: (1) data preparation (collection of existing data), (2) selection of Landsat 8 satellite images of the study area, (3) atmospheric correction of selected bands, color composition of radiometric bands and creation of the Image Mosaic, (4) calculation of the vegetation index, (5) processing of selected images (supervised classification), and (6) validation of the results obtained using the Goshen Index (Confusion Matrix).

Preparation of geographical data

The data used in this study mainly include Landsat 8 satellite images, GPS surveys collected in the field (Waypoint of land use classes), high-resolution image from Google Earth, and administrative boundaries of the DRC provinces.

Selection of satellite images of the study area

Data from LANDSAT 8 sensors were used in this study. Landsat satellites have a spatial resolution of 30 m in multi-spectral and 15 m in panchromatic. The spectral domains explored during this study are particularly in the Red, Near Infrared and Middle Infrared radiometric bands. In remote sensing, these radiometric bands are among the most appropriate for highlighting vegetation. All the images used in this study were taken in June 2018. The choice of images was made taking into account three constraints, namely the percentage (%) of cloud cover, the proportion of scratches on the images and the availability of the image in relation to the year of study. A total of six (6) Landsat scenes of 180 Km x 180 Km cover our study area (**figure 2**).

Atmospheric correction of selected bands

The selected radiometric bands have been subjected to prior radiometric and atmospheric corrections to reduce atmospheric effects including the absorption and scattering process due to gases such as ozone, water vapor and aerosols.

Calculation of the Normalized difference vegetation index

In order to minimize the effects and/or influence of the seasonality of vegetation in the Miombo project area on reflectance, it was important to take into account the vegetation index variable (NDVI), which allows better discrimination of forest cover compared to other land use classes. In addition, NDVI is often used around the world to monitor drought, forecast agricultural production, and assist in forecasting fire zones and desert offensive maps. Farming apps, like Crop Monitoring, integrate NDVI to facilitate crop scouting and give precision to fertilizer application and irrigation, among other field treatment activities, at specific growth stages. NDVI is calculated in accordance with the formula:

NDVI = (NIR-RED)/(NIR + RED)(1)

NIR: reflection in the near-infrared spectrum and RED: reflection in the red range of the spectrum According to this formula, the density of vegetation (NDVI) at a certain point of the image is equal to the difference in the intensities of reflected light in the red and infrared range divided by the sum of these intensities. This index defines values from -1.0 to



1.0, basically representing greens, where negative values are mainly formed from clouds, water and snow, and values close to zero are primarily formed from rocks and bare soil. Very small values (0.1 or less) of the NDVI function correspond to empty areas of rocks, sand or snow. Moderate values (from 0.2 to 0.3) represent shrubs and meadows, while large values (from 0.6 to 0.8) indicate temperate and tropical forests. Crop Monitoring successfully utilizes this scale to show farmers which parts of their fields have dense, moderate, or sparse vegetation at any given moment (EOS Data Analytics, 2023).



Figure 3. Study is showing (**a**) Range of Normalized Difference Vegetation Index values covering the study area for the year 2018 and (**b**) The Ground truth for the accuracy assessment of the three territories of the province of Haut Katanga.

Processing of selected images (supervised classification)

The processed Landsat images were classified with the Maximum Likehood algorithm to obtain the different strata of vegetation classes of miombo forest.

Validation of the results obtained using the Goshen Index (Confusion Matrix).

Five hundred and nineteen points (figure 3b) from random and stratified sampling were generated using the Random tool. Thus, there are 42 control points for class 1 (waterbody), 165 for class 5 (nondegraded open forest), 89 for class 4 (degraded open forest), 103 for class 4 (savannah zone) and 120 for class 2 (anthropogenic zone).



Figure 4. The graph illustrating the summarizes of the methodological approach used to map the strata of Miombo forests in the study area

Field data collection (Ground truth)

A total of eight (8) villages located in the intervention zone of the Miombo project were visited during this mission and their forests categorized (Table 1).

Table 1. The villages visited in the field during the data collection for the validation of the stratification map of forest vegetation classes of Miombo in 2018.

N°	Village	Groupement	Sector	Territory	Camp	
1	Mushibwe					
2	Sapwe 1	Sapwe	Kisamba	Kasenga	Sapwe	
3	Kibundu					
4	Kyunga	Votongo	Lufira	Kambove	Bungu bungu	
5	Milando	Kataliga				
6	Satumba	Kyembe				
7	Kibuye	Vasango	Kanonda	Vipushi	Lubumbashi	
8	Kialubamba	Kasoligo	Kapoliua	Kipusiii	Lubumbashi	

3. RESULTS AND DISCUSION

The national stratification of the DRC consists of ten classes presented in Table 3, with their correspondences to the IPCC 2006 land use categories. The first four classes relate to natural forests (class 1 to class 4) and the other classes relate to non-forested land (class 5 to class 10). Miombo forests are part of class 4 called open forest or dry forest (NERF, 2018). The production of the stratified map of the Miombo forests was done by integrating the information and data collected in the field into the final classification. The results presented in this study were obtained from the land use analysis and the confusion matrix analysis.

3.1. Analisis of results

Land use analysis

The processing and analysis of Landsat 8 images collected in June 2018 has enabled the production of the stratified map of vegetation classes of the Miombo forests of the Upper Province - Katanga. Five (05) classes were discriminated and their areas calculated. They are as follows: (1) Degraded open forest, (2) non-degraded open forest, (3) Savannah, (4) Anthropogenic zone, and (5) Water plane. Below are the different classes or strata highlighted. It is important to note that the quality of a classification depends on (i) the choice of attributes to best discriminate between classes and (ii) learning data (Postadjian *et al.*, 2018).



Figure 5. Different strata of the Miombo forest vegetation classes highlighted in this study in 2018

It is important to note that the quality of a classification depends on (i) the choice of attributes to best discriminate between classes and (ii) learning data (Postadjian *et al.*, 2018).



Confusion matrix analysis

• Preparation of validation points

After the development of a space map, it is often important to evaluate the classification. Thus, in this study, 519 points from a random and stratified sampling were generated using the Random tool (Figure 12). Thus, there are 42 control points for class 1 (Water body), 165 for class 5 (non-degraded clear forest), 89 for class 4 (Degraded clear forest), 103 for class 4 (Savannah zone) and 120 for class 2 (Anthropogenic zone).

• Estimation of the accuracy of the classification

Validation of the classification results is an important step in the process, as it ensures the performance of the results obtained and thus the significance of the analyses that follow them (Masse, 2013). Despite the availability of methods to refine class extent estimates for classification errors, the pixel counting approach continues to be widely used. Consequently, the full potential of the accuracy assessment data is not being utilized. In fact, it is not

being used at all for estimating area in a pixel counting approach. An accuracy assessment does more than indicate the accuracy of the map, it provides sample data that can be used to avoid the measurement bias of pixel counting and to decrease the standard error of the estimated area. As such, the accuracy assessment results should not be the final step of the quality evaluation but an integral part of the overall analysis of accuracy and area.

By comparing the reference data and the spatial map resulting from the classification, it was possible to perform a statistical validation (confusion matrix and Kappa index) of the map produced. As a reminder, the confusion matrix (contingency table) is a tool for measuring the concordance between a set of observed elements and a set of reference elements. Here the observed elements correspond to the pixels from the classification and the reference elements to our verification samples (Masse, 2013). The confusion matrix allows us to statistically evaluate the intensity of the link between the reference data and the result of a classification. Similarly, the Kappa coefficient also estimates the quality of the classification. It was used to assess the accuracy of the adopted classification described above (Mama and Oloukoi J., 2003). According to Pontius (2000), in a study of land use, when the Kappa index evaluated in classification operations is between 50 and 75 %, the adopted classification is valid and the results can be judiciously used.

As shown by the results presented in Tables 3 and 4, some vegetation classes in Miombo forests are classified much more accurately than others. Except for the water bodies which show 100 % accuracy, the savannah zone shows an accuracy of 93.2% in the classification. On the other hand, the lowest precision was observed in the degraded open forest class and the undegraded open forest class with 48.3 and 63.0 precision respectively. From Table 3, the errors of confusion and omission were determined for each vegetation stratum of the Miombo forests and are presented in Table 4. Errors of omission and confusion in classification were estimated at 24.4 % (Table 4). The highest errors of omission were recorded at the level of degraded open forest classes (55 %) and savannah classes (46 %). On the other hand, the lowest errors of omission were observed in the water body and anthropogenic zone strata. Confounding errors were recorded for some vegetation classes. The largest values were obtained in the following strata: Degraded open forest 52 %, human-induced 33 % and undegraded open forest 30 %. The lowest value of the error of confusion concerns the water body and savannah classes 0% and 7 %.

In order to reduce the errors of confusion and omission, the land use classes were grouped together. All classes representing vegetation were merged to form the vegetation class, while the others were grouped together to form the land use class.

	Crownd twith						
	Ground truth						
Classification	Class	Body of water	Anthropogenic zone	Savanna	Degraded open forest	Non-degraded open forest	Total
	Body of water	42	0	0	0	0	42
	Anthropogenic zone	0	80	40	0	0	120
	Savanna	1	4	96	2	0	103
	Degraded open forest	0	0	33	43	13	89
	Non-degraded open forest	1	0	10	50	104	165
	Total	44	84	179	95	117	519
	Error of omission (%)	5	5	46	55	11	
	Producer Accuracy (%)	95	95	54	45	89	

Table 3. Confusion Matrix / Contigency chart

As a result, the more detailed a classification is, the greater the errors that are made. As shown in the confusion matrix, of the 519 control points, 365 are correctly classified. This gives a mapping accuracy of 70 % (365/519) and a Kappa coefficient of 0.62. However, it should be noted that there are some difficulties in discriminating certain classes, such as between degraded and undegraded open forests and between savannah and anthropogenic areas. Overall, with reference to Cohen's (1960) table on the Kappa index, the results of the mapping of the Miombo forest strata in Haut-Katanga can be considered consistent (good). Errors of omission and confusion were calculated for each stratum as identified after classification of Landsat 8 images. The values obtained reflect the accuracy of the interpretation of each class. When considering a stratum as degraded open forest, an omission error occurs when this forest stratum has been omitted from the map. On the other hand, it is considered a commission error or confusion when the area of degraded open forest has been classified into another class on the map.

Global Precision = 70,33 % and Kappa Coefficient = 0,62 %

Precision is the ratio of WSI that were correctly classified as malignant and all WSI that were classified as malignant (<u>https://www.sciencedirect.com/topics/computer-science/classification-accuracy</u>) [56]

No	Class	Commission Error (%)	User's Accuracy (%)
1	Body of water	0	100.00
2	Anthropogenic zone	33	66.67
3	Savannah	7	93.20
4	Degraded open forest	52	48.31
5	Non-degraded open forest	37	63.03

Table 5. Confusion, omission error and producer and user clarification of land use class classification

No	Class	Error of omission (%)	Confusion error (%)	Producer Accuracy (%)	User Accuracy (%)
1	Body of water	5,00	0	95	100
2	Anthropogenic zone	5,00	33	95	66,67
3	Savannah	46,00	7	54	93,2
4	Degraded open forest	55,00	52	45	48,31
5	Non-degraded open forest	11,00	30	89	63,03
	Total	24,40	24,4	75,6	74,242

User's Accuracy	%	Producer's Accuracy	%
Degraded open forest	48.31	Degraded open forest	45.26
Non-degraded open forest	63.03	Non-degraded open forest	88.89
Body of water	100.00	Body of water	95.45
Savannah	93.20	Savannah	53.63
Anthropogenic zone	66.67	Anthropogenic zone	95.24

The mapping of the stratification of the vegetation classes of the Miombo forests resulting from the analysis of Landsat 8 satellite images of June 2018 has highlighted three main strata, namely: (1) undegraded open forest, (2) degraded open forest and (3) savannah areas (shrub, grassland, savannah forest and agricultural areas). The confusion matrix shows that this map has an overall accuracy of 70.33%. Moreover, if the results are deemed worthy and acceptable, they should not make us lose sight of the constraints encountered during the analysis and processing of the satellite images used. For the simple reason that the errors recorded during the classification of satellite images stem from several sources, notably the availability of images of the area with low cloud cover and the difficulty of discriminating certain strata.

Using the Kappa index, whose value is 0.62, we can conclude that the results of this study are statistically and cartographically acceptable. Thus, by referring to the table by Cohen (1960), on the Kappa index, whose value is higher than 0.50, we can judge the result of the mapping of the strata of the Miombo forests in Haut-Katanga in DRC to be consistent (good). As an index of overall quality, it also allows comparison of classification with random allocation of labels (Postadjian *et al.*, 2018). Therefore, the classification used in this study, which resulted in

five vegetation classes, is considered acceptable and workable. The analysis of the errors recorded at the level of the different vegetation classes, as shown in Figure 8, shows that the errors of omission are much lower at the level of some classes (water body, anthropogenic zone and undegraded open forest) while the errors of confusion are low in the water body and savannah classes. Classes that are difficult to discriminate visually are those with similar spectral signatures. This explains why the degraded open forest class is confused with the anthropogenic and undegraded open forest classes. Furthermore, the values of the confounding errors recorded are also considered acceptable as long as none of these errors is above 70 %, which is the limit value.

4 CONCLUSION

The mapping of the stratification of plant classes in the Miombo forests revealed three (03) main Savannah areas (shrubby, grassy, savannah forest and agricultural areas); other land use classes: water and entropized area. The stratification map of vegetation classes of the Miombo forests was statistically validated. The confusion matrix shows that this map has an overall accuracy of 70.33 % and the Kappa coefficient is 0.62. The map produced can be used as a guide in the framework of sustainable management of the Miombo forests in DRC, more specifically, on issues related to land use (grazing, agriculture, reforestation, etc.).

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